

ATTN: You must attach a list of wines you manufactured and intended for sale in Maryland by the permit applicant.

Section 1 - Applicant Information

☐ New Permit ☐ Renewal (Permit #) DW- _____

A.	Corporate Name and T/A of Wine Manufacturer		
B.	Name of Contact	Phone Number	Fax Number
	E-mail address		
C.	Mailing address		
	City	State	Zip
D.	Physical location address		

Office Use Only

Permit Number _____

Permit Year _____

Approved _____

Date _____

Check Number _____

Check Amount \$ _____

Deposit Date _____

E. Applicant is a:

- ☐ Corporation
☐ Limited Liability Co.
☐ Partnership

Federal Identification Number

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Officers of Corporation, Limited Liability Company, or Partnership:

	1	2	3
Name			
Home Address			
Home Phone No.			
Social Security No.			

F. The applicant is a person licensed outside the State to engage in the manufacture of wine; or a holder of a Maryland Class 3 manufacturer's license or a Class 4 manufacturer's license: (submit a copy of your current license(s))

_____	_____	_____	_____
State of Issuance (attach separate sheet, if needed)	Type or Class	Expiration Date	License #

G. The Annotated Code of Maryland, Alcoholic Beverages Article, Section 1-404 titled "Compliance with Workers' Compensation Act", requires the evidence of such compliance prior to the issuance of any permit. The applicant hereby affirms (complete one):

- ☐ a. Applicant is not an employer required to provide coverage by the Maryland Workers' Compensation Law; or
☐ b. Applicant is an employer required to provide employee coverage by the Maryland Workers' Compensation Law and has secured such coverage. As evidence of such coverage, list the name of insurance company and policy or binder number:

Name of Insurance Company

Policy or Binder Number

Section 2 - Permit Information

- A. Does the applicant have a valid Maryland sales and use tax license? ☐ Yes ☐ No
If you checked "**Yes**", enter Maryland Combined Registration Number here: _____
If you checked "**No**", complete, sign and attach a Maryland Combined Registration Application or
a copy of the confirmation page from your on-line application. You may apply on-line for the
Combined Registration number at the following link:
<https://interactive.marylandtaxes.gov/webapps/comptrollercra/entrance.asp>
- B. Has the applicant ever been convicted of a felony by any state or federal court? ☐ Yes ☐ No
- C. Has the applicant ever been convicted of a violation of the laws of the United States, Maryland, or any other
state concerning alcoholic beverages, gaming, or gambling? ☐ Yes ☐ No
(If yes, explain in detail on a separate sheet)
- D. Does the applicant agree to conform to all the laws, rules, and regulations of the
State of Maryland relating to the business proposed under this permit? ☐ Yes ☐ No
- E. Does the applicant authorize the Alcohol, Tobacco, and Cannabis Commission and the Commission's duly authorized
personnel to search without warrant any vehicle, railroad car, vessel, aircraft, or premises used in the business to be
conducted under this permit at any and all hours agreeable to the laws of the State of Maryland? ☐ Yes ☐ No
- F. Do you agree to file quarterly tax returns, and remit sales tax and pay alcoholic beverage tax due on sales
to consumers in Maryland? If you check "**No**" to this question, this application cannot be approved. ☐ Yes ☐ No
- G. Do you have a Federal Basic Permit issued by the Alcohol and Tobacco Tax and Trade Bureau (TTB)?
(**Submit a copy of your Federal Basic Permit**). ☐ Yes ☐ No
- H. Do you agree to deliver wine to a Maryland consumer through a common carrier who
has been issued a permit by the Maryland ATCC?. ☐ Yes ☐ No
- I. Does the applicant have a financial interest in a Maryland alcoholic beverages retailer? If yes, please
provide an explanation. ☐ Yes ☐ No
- J. Have you attached a list of wines (identified by brand name and type) manufactured by the applicant to be shipped
into Maryland?. ☐ Yes ☐ No
- K. Is the applicant the winery who manufactures all of the wines on the list to be shipped to Maryland? ☐ Yes ☐ No
(If **NO**, you do not qualify for a Direct Wine shippers permit. Fulfillment houses/Third party shippers who
do not manufacture wine do not qualify for a Direct Wine Shipper permit).
By initialing here _____, you indicate that you understand that all wines on the included list that are
shipped to Maryland are manufactured by the Direct Wine Shipper Permit applicant.
- L. Will the Maryland Direct Wine Shipper Permit holder be utilizing a third party shipper/fulfillment
house for orders to a Maryland customer? ☐ Yes ☐ No
If yes, please identify _____

**ONLY WINES IDENTIFIED ON THIS LIST MANUFACTURED BY THE APPLICANT, MAY BE SHIPPED TO CONSUMERS IN
MARYLAND BY THE PERMIT HOLDER.**

Section 3 - Affidavit of Direct Wine Shipper's Permit Applicant

I do solemnly declare and affirm, under penalty of perjury, that the contents of the foregoing document are true and correct to
the best of my knowledge, information, and belief.

Signature of Owner, Partner, or Corporate Officer

Type or Print Name of Owner, Partner, or Corporate Officer

Title

Date

Section 4 - Direct Wine Shipper Permit - Requirements

1. The holder of a direct wine shipper's permit may only sell wine to a personal consumer who is at least 21 years of age, is a resident of Maryland, and who purchases wine for personal consumption only and not for resale or other commercial purposes.
2. A direct wine shipper's permit entitles the holder to sell ONLY its own manufactured wine identified in the included list through the holder of a common carrier permit to a Maryland consumer by receiving and filling orders that the consumer transmits by electronic or other means.
3. The term of a direct wine shipper's permit is one year and begins on July 1st. The permit must be renewed annually.
4. A direct wine shipper shall:
 - a. Ensure that all containers of wine shipped directly to a consumer in the State are conspicuously labeled with:
 - (i) The LICENSE NAME AS STATED on the Maryland Direct Wine Shipper's Permit.
 - (ii) The name and address of the consumer who is the intended recipient; and
 - (iii) The words "Contains Alcohol: Signature of Person at Least 21 Years of Age Required for Delivery".
 - b. File a quarterly alcoholic beverage tax return and a quarterly sales and use tax return with the Comptroller of Maryland, and collect and remit sales tax and pay alcoholic beverage tax due on sales to consumers in Maryland. The alcoholic beverage tax return must state the total amount of wine, by type, shipped into the State, the price charged, and the name and address of each purchaser.
 - c. Post security for the alcoholic beverage tax in the amount of at least \$1,000 if the applicant is an out-of-state manufacturer of wine. Existing bonds for Maryland wine manufacturers may be adjusted based on tax returns filed.
 - d. Maintain for a period of 3 years complete and accurate records of all information needed to verify compliance with the requirements of the Direct Wine Shipper's Permit.
 - e. Allow the Comptroller of Maryland to perform an audit of the direct wine shipper's records on request.

- f. Consent to the jurisdiction of the Maryland Alcohol, Tobacco, and Cannabis Commission or other State unit and the State courts concerning enforcement of the Direct Wine Shipper's Permit and any related law.

5. A direct wine shipper may not:

- a. Ship more than 18 9-liter cases of wine annually to a single delivery address.
- b. Cause wine to be delivered on Sunday to an address in the State.

6. "Wine" means any fermented beverage, including light wines, and wines the alcohol content of which has been fortified by the addition of alcohol, spirits, or other ingredients.

7. See Administrative Release No. AB-42 for additional information.

Section 5 - Application Instructions

1. Submit the \$200.00 annual permit fee with the application. Permit fees are not pro-rated.
2. Incomplete applications will be rejected and returned.
3. Make checks payable to "Alcohol, Tobacco, and Cannabis Commission."

4. Sign, date and mail your application to:

**Maryland Alcohol, Tobacco, and
Cannabis Commission**

Regulatory & Research Section
1215 E Fort Ave, Ste 300
Baltimore, Maryland

410-260-7314

atcc.licensing@maryland.gov
atcc.maryland.gov

Maryland Alcohol, Tobacco, and Cannabis Commission
Licensing and Research Section
1215 E Fort Ave, Ste 300
Baltimore, MD 21230

Checklist for Direct Wine Shipper's Permit Application

Read Administrative Release (AB-42) for information about the direct wine shipper's permit law before filing this application. A B-42 can be found at: http://compnet.comp.state.md.us/Motor_Fuel__Alcohol_and_Tobacco_Tax/Alcohol_and_Tobacco_Tax/Alcohol_Tax_Information/Administrative_News_and_Forms/Alcoholic_Beverages_Administrative_Releases.shtml

Below is a checklist of forms and documents that must be submitted to the Maryland Alcohol, Tobacco, and Cannabis Commission, Licensing and Research Section, when applying for a Direct Wine Shipper's Permit, which authorizes you to ship wine directly to Maryland consumers.

For purposes of evaluating the direct wine shipment law, please submit the following:

- ☐ Permit Application (Form COM/RAD-381) properly executed (one copy).
- ☐ Direct Wine Shipper Bond (Form COM/RAD-379) properly executed (original copy).
- ☐ Provide an official Power of Attorney (if applicable) to authorize a compliance agent or employee to sign any documents submitted excluding the actual application which must be signed by a corporate officer or owner (if individual ownership).
- ☐ A check or money order in the amount of \$200.00 made payable to the Maryland Alcohol, Tobacco, and Cannabis Commission.
- ☐ A copy of your Sales & Use Tax License issued by the Comptroller of Maryland.
- ☐ A sample invoice you propose to use to bill Maryland consumers.
- ☐ Identify the name(s) of the common carrier you will use to direct ship wine to Maryland consumer.

- ☐ Identify the name(s) of any third-party business whose services you may use for packaging or shipping wine.
- ☐ List all wine brands you may sell and ship directly to Maryland consumers.
- ☐ A copy of your Federal Basic Permit
- ☐ A copy of your State issued alcohol license

Send payment to:

**Maryland Alcohol, Tobacco,
and Cannabis Commission**
Licensing and Research Section
1215 E Fort Ave, Ste 300
Baltimore, MD 21230

Comptroller of Maryland
Field Enforcement Division
Regulatory & Licensing Section
P.O. Box 2999
Annapolis, Maryland 21404-2999
410-260-7314 or 800-MD-TAXES
ATT@marylandtaxes.gov
www.marylandtaxes.gov

RLS Use Only

Approved _____

Date _____

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we _____,
hereinafter called the Principal, and _____, an entity incorporated
under the laws of the state of _____ and duly licensed to do business under the laws of the
state of Maryland, hereinafter called the Surety, are held and firmly bound unto the state of Maryland in the full and just sum of
ONE THOUSAND (\$1,000.00) DOLLARS, lawful money of the United States of America, to be paid to upon demand to the said
state of Maryland, or its assigns, to which payment well and truly to be made and done we bind ourselves, our heirs, personal
representatives, administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, under the provisions of Tax-General Article, § 13-825, Annotated Code of Maryland, the above bounded Principal,
upon filing an application for a permit to ship wine directly to consumers in the state of Maryland as a "direct wine shipper"
as defined in the Annotated Code of Maryland, Alcoholic Beverages Article §2-142, is required to file concurrently therewith
a bond of the character stipulated and in the amount provided for therein, which bond shall be filed with the Comptroller
of Maryland.

NOW, THEREFORE, the conditions of this obligation are such, that if the above bounded Principal shall promptly file tax returns
and reports with the Comptroller of Maryland as required by law, and shall well and truly pay all alcoholic beverage taxes as
they become due, which are now or may hereinafter be levied or imposed by the state of Maryland, together with any and
all penalties and interest thereon, and shall faithfully comply with all the provisions of the Tax-General Article, Title 5 and
Title 13, Annotated Code of Maryland, and all amendments thereof, then this obligation to be void; otherwise, to remain in
full force and effect until cancelled in accordance with the provisions of the Tax-General Article. Any cancellation of this bond
shall not affect any liability incurred or accrued prior to the termination date.

In witness whereof the said Principal has hereunto set its hand and seal and the Surety has caused this instrument to be
executed in its name and on its behalf this _____ day of _____, 20_____.

TO BE COMPLETED BY SURETY

TO BE COMPLETED BY LICENSEE

Name of Surety

Name of Principal

Signature of President/Attorney-In-Fact

Signature of Owner, Partner or Officer

Attest: _____

Witness: _____

NOTE: CANCELLATION OF THIS BOND REQUIRES A 60 DAY NOTICE